



Theory of Computation

Lecture 1



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Book

- ▶ The primary textbook is:
Introduction to the Theory of Computation by Michael Sipser.



Grading

- ▶ 10%: Weekly Homework.
- ▶ 30%: Two quizzes and one exam.
- ▶ 20%: One quiz and one exam.
- ▶ 40%: Final Exam.



Syllabus

▶ Automata and Languages

- Finite Automata
- DFAs and NFAs
- Regular Expressions
- Non-regular Languages
- Context-Free Languages (Grammars, Pushdown Automata, non-context-free languages)

▶ Computability Theory

- Turing Machines
- Complexity Theory



Theory of Computation

- ▶ Theory of computation is the branch that deals with how efficiently problems can be solved on a model of computation, using an algorithm. In order to perform a rigorous study of computation, computer scientists work with a mathematical abstraction of computers called a model of computation. There are several models in use, but the most commonly examined is the Turing machine.
- ▶ Alan Turing in 1937 proposed that all computation could be performed by a special kind of a machine called a Turing machine. He based the model on the actions that people perform when involved in computation. He abstracted these actions into a model for a computational machine that has really changed the world.

About the Course

- ▶ Fundamental mathematical properties of hw, sw, and applications.
- ▶ Determine what can and can not be computed, how much memory, which computational models.
- ▶ Theory expands your mind, gives you the ability to think, solve problems.
- ▶ Know the capabilities and limitations of computers.



Automata, Computability and Complexity

- ▶ What are the fundamental capabilities and limitations of computers? Three interpretations.
- ▶ Complexity Theory
 - ▶ What makes some problems computationally hard and others easy?
 - ▶ Classifying problems according to their computational difficulty.
- ▶ Computability Theory
 - ▶ Which problems are solvable and which are not.
- ▶ Automata Theory
 - ▶ Definitions and properties of mathematical models of computation.



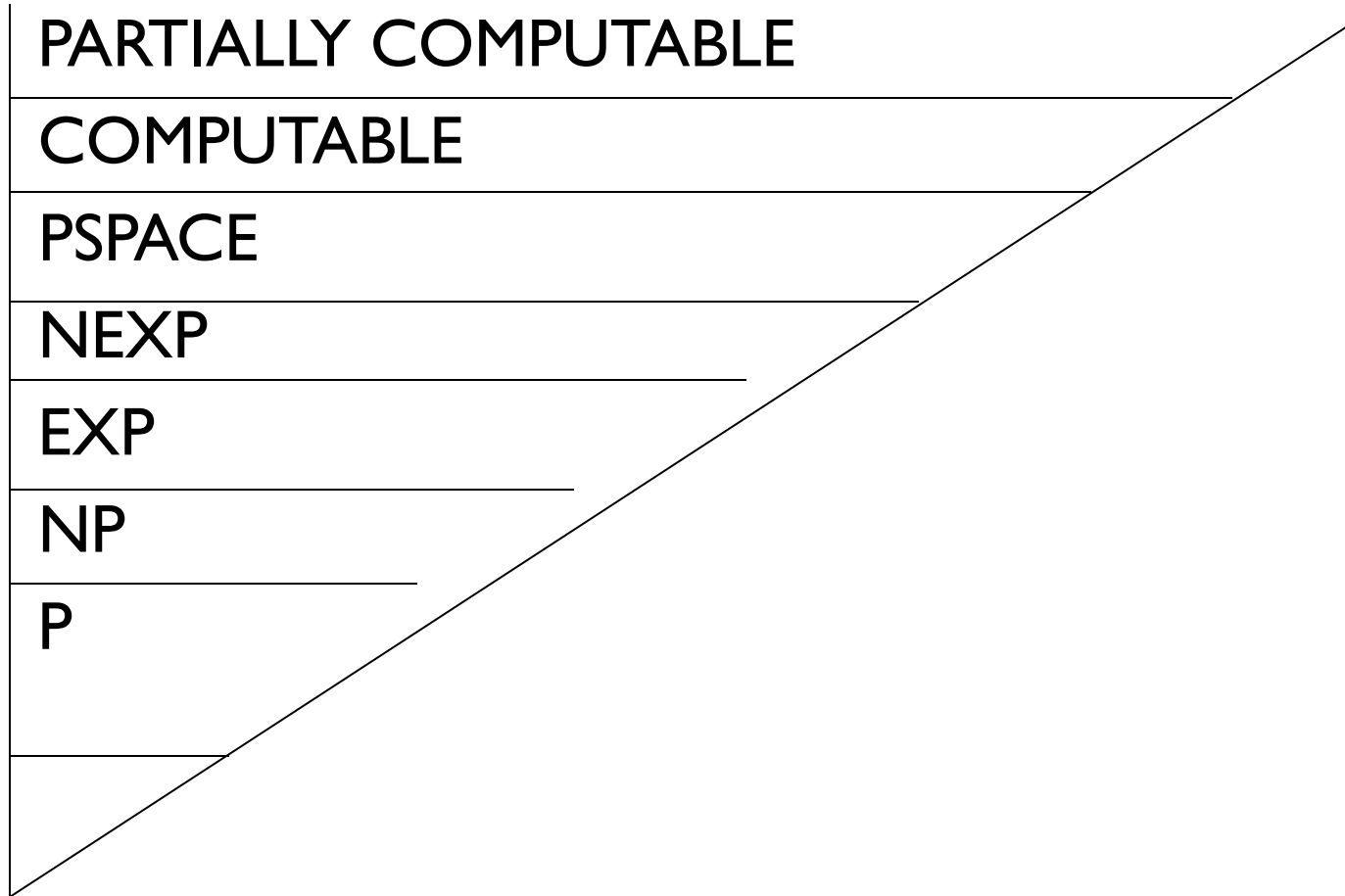
Complexity of Algorithms

- ▶ If an algorithm runs in time $O(\log(n))$, $O(n)$, or $O(n^4)$, then faster machines may make a big difference
- ▶ If an algorithm runs in time $O(2^n)$, or $O(n^{\log(n)})$, then faster machines may not make a big difference



Problem Classes

...



Mathematical Notation

- ▶ Sets, power sets, cartesian product
- ▶ Sequences and Tuples
- ▶ Functions and Relations
- ▶ Graphs
- ▶ Strings and Languages
- ▶ Types of Proofs(construction, contradiction, induction, ...)



Computational Model

- ▶ Theory of computation begins with a question:
 - ▶ What is a computer?
- ▶ Idealized computer.
 - ▶ A computational model is a mathematical model in computer science to study the behavior of a system by computer simulation.
- ▶ A Turing machine is a mathematical model of a hypothetical computing machine with infinite memory.
- ▶ Simplest model is the finite state machine or finite automaton.

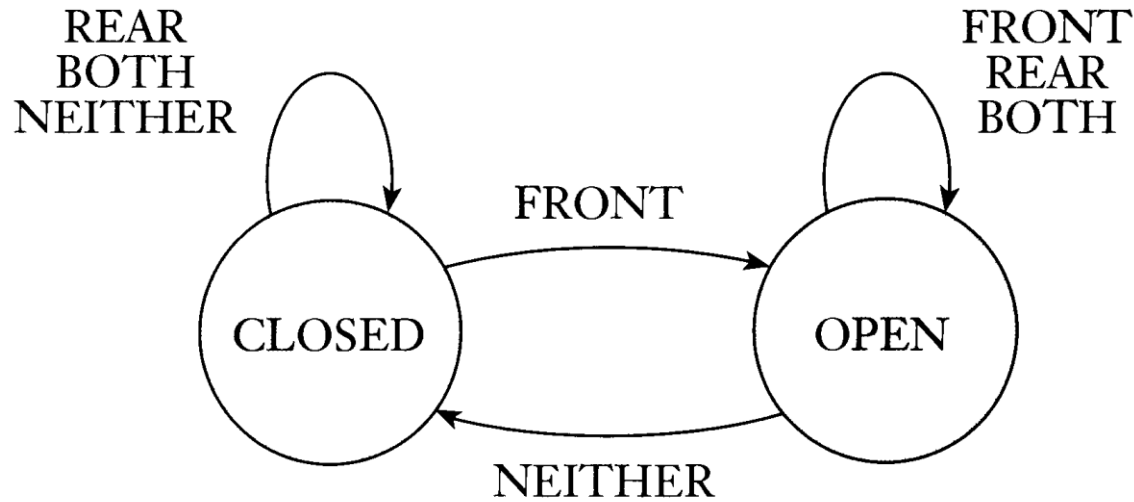


Finite Automata

- ▶ One pass through the input
- ▶ No capability to write
- ▶ Turing Machines with constant amount of memory
- ▶ What can we do with such a small memory?



Example



input signal

		NEITHER	FRONT	REAR	BOTH
state	CLOSED	CLOSED	OPEN	CLOSED	CLOSED
	OPEN	CLOSED	OPEN	OPEN	OPEN



Deterministic Finite Automata

DFA definition

- ▶ A finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$
 - ▶ Q is a set of *states*
 - ▶ Σ is an alphabet over which input strings are defined
 - ▶ $\delta: Q \times \Sigma \rightarrow Q$ is a *transition function* between states
 - ▶ q_0 is the start state
 - ▶ F is a set of *accept states*



Alphabets, Σ

- ▶ Any set of distinct symbols, for example:
 - ▶ $\{0, 1\}$
 - ▶ $\{a, b, c, d \dots z\}$
 - ▶ $\{a, b, c, d, \dots z, A, B, \dots Z, 0, 1, 2 \dots 9\}$
 - ▶ ASCII

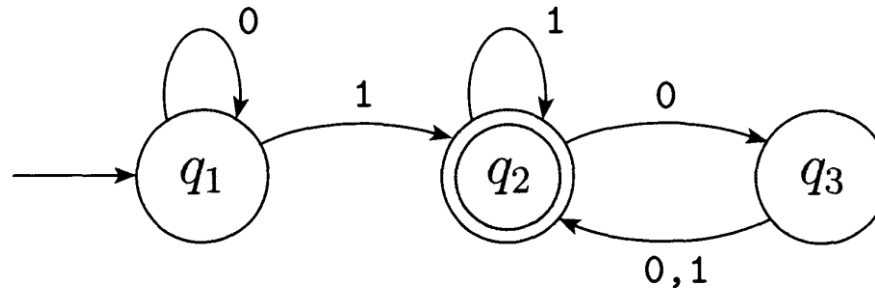


“A String over an Alphabet”

- ▶ A sequence of letters (with replacement) from that alphabet:
 - ▶ 000111000
 - ▶ SOS



Examples of Finite Automata: 1



1. $Q = \{q_1, q_2, q_3\}$,

2. $\Sigma = \{0,1\}$,

3. δ is described as

	0	1
q_1	q_1	q_2
q_2	q_3	q_2
q_3	q_2	q_2

4. q_1 is the start state, and

5. $F = \{q_2\}$.

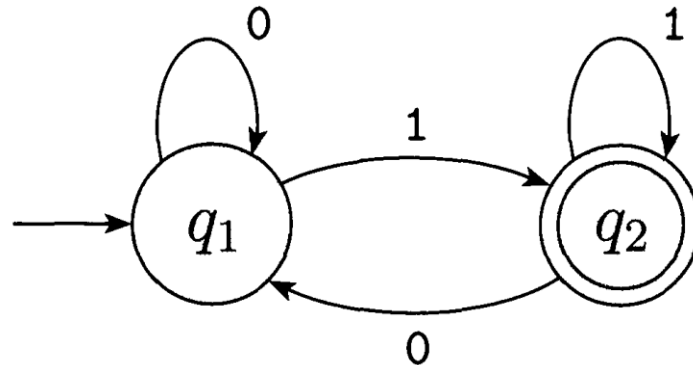
$A = \{w \mid w \text{ contains at least one } 1 \text{ and an even number of } 0\text{s follow the last } 1\}$.

$$L(M_1) = A$$

M_1 recognizes A



Examples of Finite Automata: 1



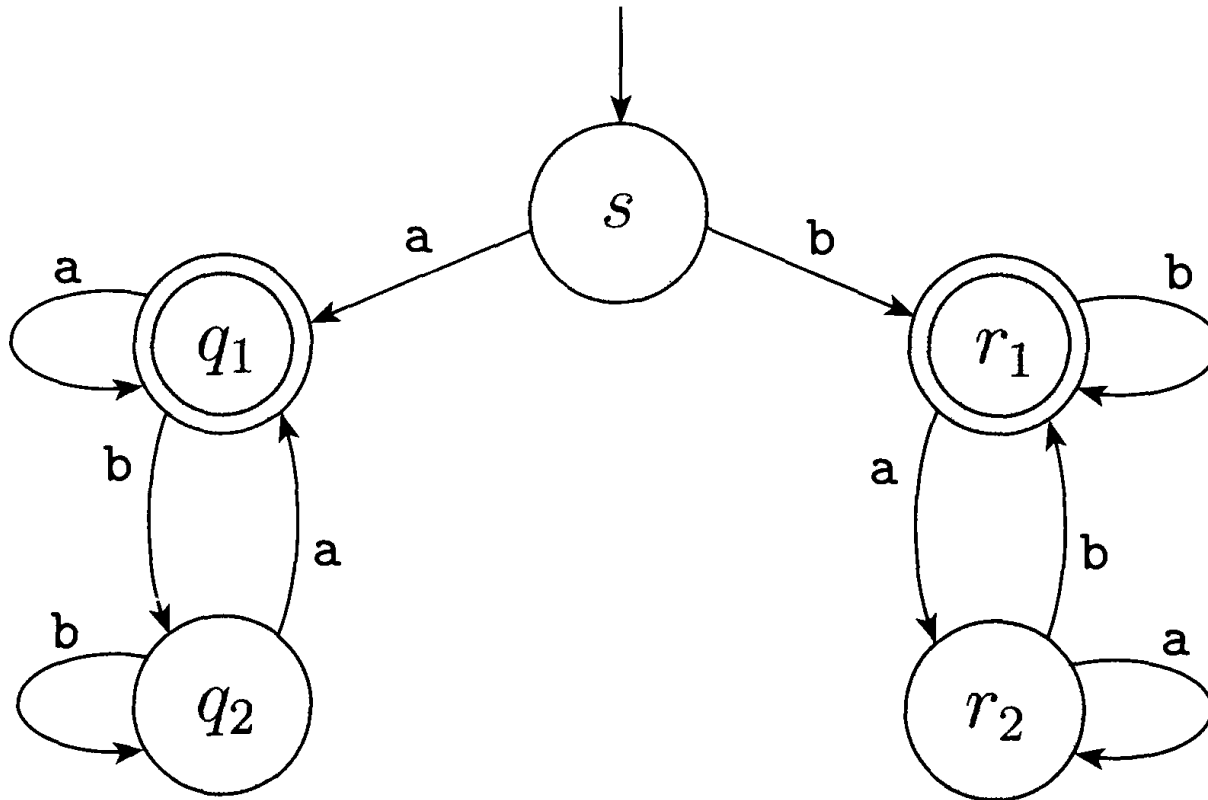
$$M_2 = (\{q_1, q_2\}, \{0, 1\}, \delta, q_1, \{q_2\})$$

	0	1
q_1	q_1	q_2
q_2	q_1	q_2

$$L(M_2) = \{w \mid w \text{ ends in a } 1\}$$



Examples of Finite Automata: 2

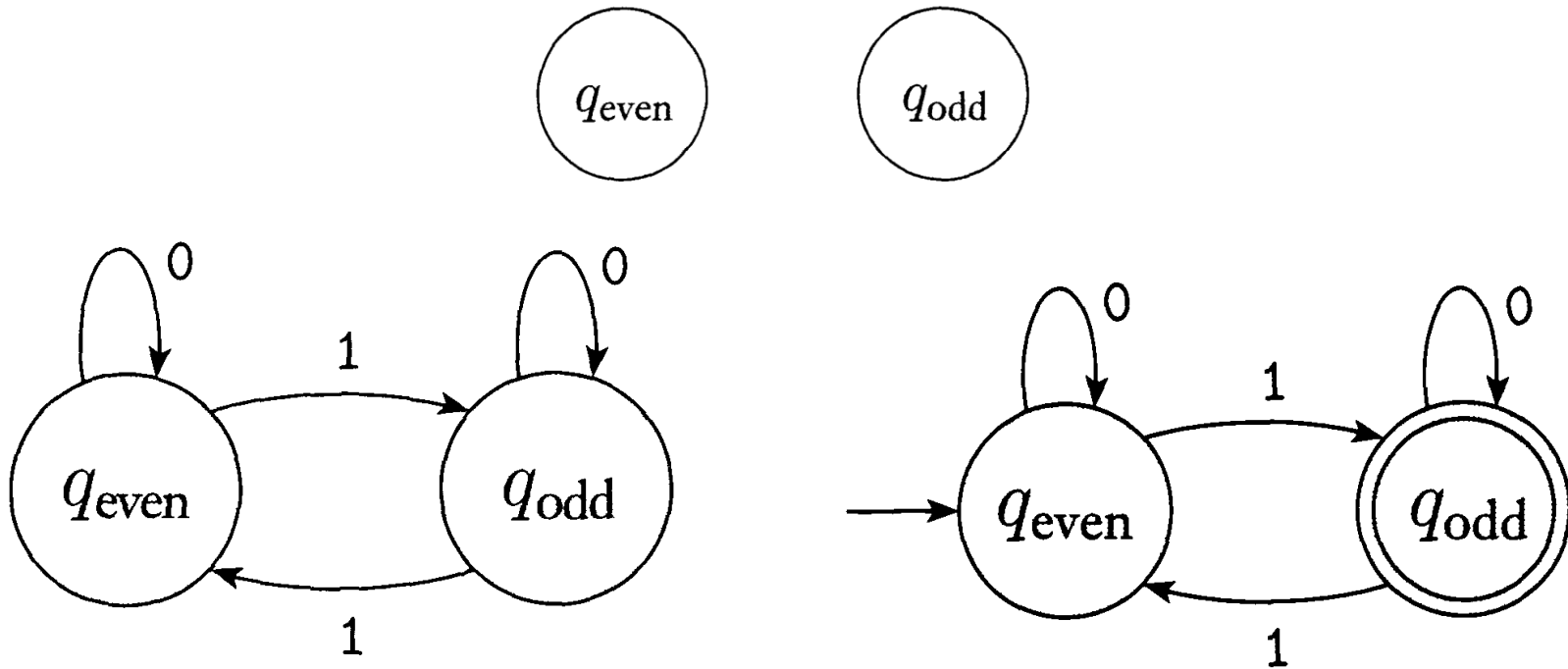


Languages

- ▶ A language L is a subset of S^* . A binary language is a subset of $\{0,1\}^*$.
- ▶ The language accepted (computed) by a DFA $M = L(M)$ is the set of all strings w such that M ends in an accept state on input w .
- ▶ L is a regular language if there is a DFA that accepts it.

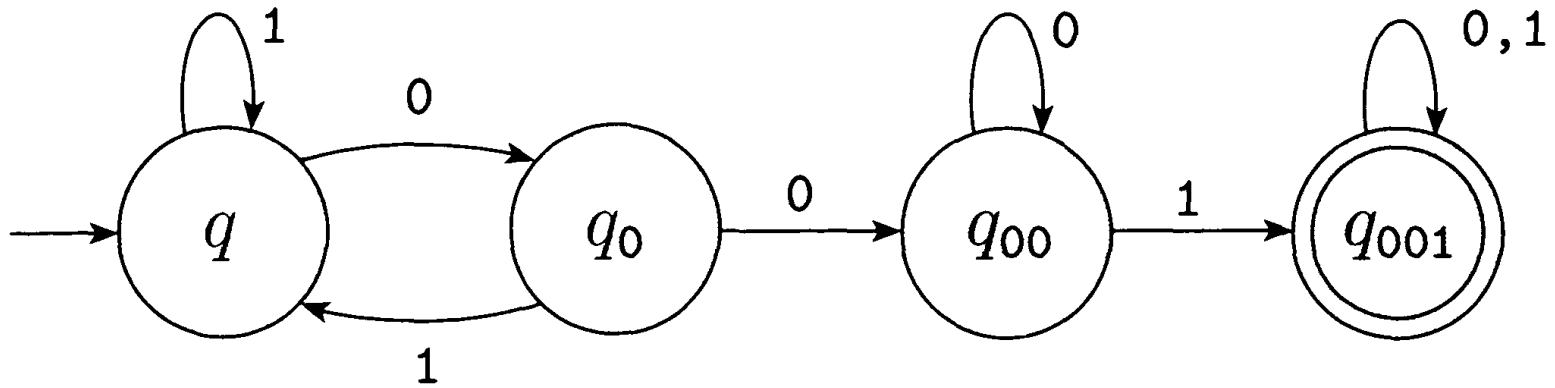


Designing Finite Automata: Odd 1s



Designing Finite Automata: 2

- ▶ Accept strings that contain the substring 001



The Regular Operations

- ▶ Union
- ▶ Concatenation
- ▶ Star
- ▶ The class of regular languages is closed under the union and concatenation operations.



Reading Materials

- ▶ Chapter 0
- ▶ Chapter 1: Section 1.1 Finite Automata

